

All accounts and services are provided by Heartland Bank Limited (**Heartland Bank**).

By completing and signing this application form, you acknowledge that you have received and read:

- Heartland Bank Limited's current Account and Service General Terms and Conditions; and
- the Heartland Cash and Term PIE Fund Product Fact Sheet (as applicable);

and agree that these terms and the terms of the Heartland PIE Funds Trust Deed will be binding on you.

A copy of these documents and Heartland Bank's latest Disclosure Statement can be obtained from our Investment Team on 0800 85 20 20 or at www.heartland.co.nz.

COMPANY TRUST PARTNERSHIP CLUB OTHER (please specify) _____

ORGANISATION DETAILS

Existing customer - My customer number is

Full legal name

Trading name (if different) Company number

Physical address

Suburb City or town Postcode

Postal address (if different from above)

Suburb City or town Postcode

Email address Please note that, by providing an email address, you consent to receiving communications in electronic form

Ph (hm) () Ph (wk) () Mob ()

TAX DETAILS

IRD no. depositor

Please note: If we do not have your IRD number on file, we are required to deduct PIE tax at the default rate of 28%.

Please indicate your Prescribed Investor Rate (PIR) below.

0% 10.5% 17.5% 28.0%

Is your entity controlled by a US person? Yes No
If yes, please enter your TIN

Fax ()

AUTHORISED PERSON - 1

Existing customer - My customer number is

First name(s) in full

Surname Occupation Date of birth

List all countries of residency or citizenship Designation
e.g. Director, treasurer, chairperson, sole trader, partner

Physical address

Suburb City or town Postcode

Postal address (if different from above)

Suburb City or town Postcode

Email address Please note that, by providing an email address, you consent to receiving communications in electronic form

Ph (hm) () Ph (wk) () Mob ()

TAX DETAILS

IRD no. depositor

Please note: If we do not have your IRD number on file, we are required to deduct PIE tax at the default rate of 28%.

Please indicate your Prescribed Investor Rate (PIR) below.

0% 10.5% 17.5% 28.0%

Is your entity controlled by a US person? Yes No
If yes, please enter your TIN

Fax ()

AUTHORISED PERSON - 2

Existing customer - My customer number is

First name(s) in full

Surname Occupation Date of birth

List all countries of residency or citizenship Designation
e.g. Director, treasurer, chairperson, sole trader, partner

Physical address

Suburb City or town Postcode

Postal address (if different from above)

Suburb City or town Postcode

Email address Please note that, by providing an email address, you consent to receiving communications in electronic form

Ph (hm) () Ph (wk) () Mob ()

TAX DETAILS

IRD no. depositor

Please note: If we do not have your IRD number on file, we are required to deduct PIE tax at the default rate of 28%.

Please indicate your Prescribed Investor Rate (PIR) below.

0% 10.5% 17.5% 28.0%

Is your entity controlled by a US person? Yes No
If yes, please enter your TIN

Fax ()

If you have more than 2 Authorised People complete a 'Schedule of Additional Authorised People'

INVESTMENT INFORMATION

I would like to invest \$ [] at [] % p.a. for [] Months in Units to be held in a Heartland Term PIE Account
Minimum investment \$1,000.
OPTION 1
OR [] in Units to be held in a Heartland Cash PIE Account
OPTION 2

BANK ACCOUNT DETAILS (Nominated account for interest payments and withdrawals)

Bank [] Branch [] Account Name []
[]
Bank Branch Account Suffix

TERM PIE ACCOUNT - INCOME OPTIONS (Please tick one box to show how you would like to receive your income)

Quarterly compounding (on the last days of March, June, September, December)
 Quarterly direct credit (on the last days of March, June, September and December to bank account detailed in the Bank Account Details section below)

PAYING YOUR INVESTMENT AMOUNT Please select one of the following options for transferring your investment amount to Heartland.

Cheque (enclosed) Direct Credit - Bank account for Heartland Cash and Term PIE Fund: 03 1783 00500515 01

SIGNING RULES

Anyone to sign by themselves All signatories must sign At least [] must sign Other (Please specify) []
Note: For clubs, charities and not-for-profit organisations at least 2 signatories must sign.

INTERNET BANKING ACCESS

Please tick if you would like access to Internet Banking

HOW DID YOU HEAR ABOUT US?

Online/digital/social media e.g. interest.co.nz, Facebook, Google, outdoor advertising (please specify) []
 Radio Newspaper (please specify) []
 Word of mouth Branch
 Club or event (please specify) [] Other (please specify) []

FURTHER INFORMATION If you have any additional comments or further information please add here:

[]

PRIVACY Information about each person named in this form (Relevant Person) may be collected and held by Heartland Bank Limited, its trading division MARAC, its related entities, successors, assigns, agents and associates (Heartland). That information may be used by Heartland to consider this application for products or services (and any future application involving the Relevant Person). If the information is not provided, Heartland may not be able to provide the requested products or services. The information may also be used by Heartland to develop and run its business, including administering and monitoring products or services provided to the Relevant Person (or any related entity), compliance with legal and regulatory requirements (including identity verification requirements) and providing the Relevant Person with information about other products or services, including those of selected third parties. For the above purposes, the Relevant Person acknowledges that Heartland may provide and obtain information about the Relevant Person to or from other organisations or people Heartland considers appropriate, including its service providers, other financial and insurance institutions, government departments, the Relevant Person's employer/accountant, and other appropriate persons. Heartland may use the services of credit reporting agencies on an ongoing basis for purposes relating to the provision of credit to the Relevant Person (or any related entity), and may exchange information about the Relevant Person with those agencies, including default information. Those agencies may retain that information and provide that information to other customers who use their credit reporting services.

Each Relevant Person may request the full details of every organisation or person to whom Heartland has disclosed information about the Relevant Person. Individuals have rights to access and request correction of their personal information under the Privacy Act 1993. By signing this form each Relevant Person confirms that:

- each person named in this form has read and agrees to the terms above;
- all information provided to Heartland is correct, complete and not misleading; and
- the Relevant Person is not an undischarged bankrupt.

SIGNED BY THE ACCOUNT HOLDER OR ON BEHALF OF

Signature [] Date []
Name []

SIGNED BY THE ACCOUNT HOLDER OR ON BEHALF OF

Signature [] Date []
Name []

BANK USE ONLY: OPENED BY: [] VERIFIED BY: []
CDD CHECKLIST COMPLETED ORIGINATOR: []
ACCOUNT NUMBER [] ACCOUNT NUMBER []
ACCOUNT MANAGER: [] COST CENTRE: []